UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. LOT920030008US1

Total Pages in this Submission 4

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450

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Trans invent				for f	iling under 35	U.S	.C. 111(a) and	37 C.F.R. 1.53	(b) is a new utility patent application for	an
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and in	vente	ed by	:							N. C.
Ch	arles	E. Dı	umon	t			Ass	New Or	tional Business Machines Corporation chard Road k, New York 10504	21909 U.S.
If a C	ONT	INUA	TION	N AP	PLICATION,	chec	k appropriate b	ox and supply	the requisite information:	
	Conti	inuat	ion		Divisional		Continuation	-in-part (CIP)	of prior application No.:	
Whic	h is a Cont i		tion		Divisional		Continuation	-in-part (CIP)	of prior application No.:	
Whic	h is a	:								
	Cont	inuat	ion		Divisional		Continuation	-in-part (CIP)	of prior application No.:	
Enclo	sed a	are:								
							Applicatio	n Elements		
1.	X	Filin	ng fee	as c	calculated and	d trar	nsmitted as des	cribed below		
2.	X	Spe	ecifica	ation	having		27	_ pages and ir	ncluding the following:	
	a.	X	Des	cript	ive Title of the	e Inv	ention			
	b.		Cros	ss R	eferences to	Relat	ed Applications	(if applicable)		
	c.		Stat	eme	nt Regarding	Fede	erally-sponsore	d Research/De	velopment (if applicable)	
	d.		Refe	eren	ce to Sequen	ce Li	sting, a Table, o	or a Computer I	Program Listing Appendix	
	e.	×	Bac	kgro	und of the Inv	entic	on			
	f.	X	Brie	f Sur	mmary of the	Inve	ntion			
	g.	X	Brie	f De	scription of th	e Dra	awings (if filed)			
	h.	X	Deta	ailed	Description					
	i.	X	Clai	m(s)	as Classified	l Belo	ow			
	j.	X	Abs	tract	of the Disclo	sure				

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Total Pages in this Submission

Application Elements (Continued)								
3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)						
	a.	✓ Formal Number of Sheets 4						
	b.	☐ Informal Number of Sheets						
4.	X	Oath or Declaration						
	a.	Newly executed (original or copy) □ Unexecuted						
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)						
	C.	☑ With Power of Attorney ☐ Without Power of Attorney						
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).						
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
7.		Application Data Sheet (See 37 CFR 1.76)						
8.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)						
	a.	☐ Computer Readable Form (CRF)						
	b.	☐ Specification Sequence Listing on:						
		i. D CD-ROM or CD-R (2 copies); or						
		ii. 🗖 Paper						
	C.	Statement(s) Verifying Identical Paper and Computer Readable Copy						
	Accompanying Application Parts							
9.	X	Assignment Papers (cover sheet & document(s))						
10.		37 CFR 3.73(B) Statement (when there is an assignee)						
11.		English Translation Document (if applicable)						
12.	X	Information Disclosure Statement/PTO-1449						
13.		Preliminary Amendment						
14.	X	Return Receipt Postcard (MPEP 503) (Should be specifically itemized) - 2 Enclosed						
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.	X	Certificate of Mailing						
		☐ First Class ☒ Express Mail (Specify Label No.): EL102609769US						

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Total Pages in this Submission

4

7.	Additional Enclosur	res (please identify be	elow):	

Accompanying Application Parts (Continued)

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18.
Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Total Pages in this Submission 4

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CLAIMS AS FILED #Filed #Extra For #Allowed Rate Fee **Total Claims** \$234.00 33 - 20 = 13 х \$18.00 4 Indep. Claims - 3 = 1 \$84.00 \$84.00 Х Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$750.00 OTHER FEE (specify purpose) \$0.00 **TOTAL FILING FEE** \$1,068.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 122158 (IBM) as described below. ★ Charge the amount of the control of the \$1,068.00 as filing fee. ☑ Credit any overpayment. ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Signature Ronald A. D'Alessandro Dated: August 6, 2003 Reg. No. 42,456 Hoffman, Warnick & D'Alessandro LLC Three E-Comm Square Albany, New York 12207 (518) 449-0044

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Charles E. Dumont Docket No. LOT920030008US					
Serial No. N/A	Filing Date Herewith	Examiner N/A	Group Art Unit N/A		
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CFR 1.10 in an envelo	pe addressed to: Commissione	er for Patents, P.O. Box 1450, Alexan	idria, VA 22313-1450 on		
	August 6, 2003 (Date)				
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